

# Three Dimensional Monte Carlo Device Simulation with Parallel Multigrid Solver \*

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## Abstract

We present the results in embedding a multigrid solver for Poisson's equation into the parallel 3D Monte Carlo device simulator, PMC-3D. First we have implemented the sequential multigrid solver, and embedded it into the Monte Carlo code which previously was using the sequential successive overrelaxation (SOR) solver. Depending on the convergence threshold, we have obtained significant speedups ranging from 5 to 15 on a single HP 712/80 workstation. We have also implemented the parallel multigrid solver by extending the partitioning algorithm and the interprocessor communication routines of the SOR solver in order to service multiple grids. The Monte Carlo code with the parallel multigrid Poisson solver is 3 to 9 times faster than the Monte Carlo code with the parallel SOR code, based on timing results on a 32-node nCUBE multiprocessor.

## 1 Introduction

Semiconductor device simulation is an important aspect of the computer aided design (CAD) of integrated circuits. As semiconductor device dimensions continue to shrink in ultra-large scale integration technology, there is an increasing need for full, three-dimensional (3D) device models to accurately represent the physical characteristics of the device. Further, as dimensions shrink and the internal electric fields increase, approximate solutions to the semiconductor transport equation (the Boltzmann equation when quantum effects are negligible) based on low order moment methods (e.g. the drift-diffusion model) are no longer applicable. Solution of the Boltzmann equation using Monte Carlo methods is currently the most widespread technique used in device simulation at this level of modeling [1]. In a Monte Carlo device simulation, the solution of the particle motion (referred to here as the Monte Carlo phase) is synchronized with the solution of Poisson's equation so as to provide an accurate representation of the time dependent evolution of the fields in the semiconductor, which in turn accelerate the carriers over each time step. It is necessary to solve Poisson's equation at various time intervals, and therefore the above algorithm is basically a time-domain solution of the transport and field equations in the device.

The computational burden of using such Monte Carlo techniques is quite high, particularly when combined with the simultaneous solution of Poisson's equation in 3D. Alternate particle methods for solving the Boltzmann equation using lattice-gas cellular-automaton have demonstrated considerable speedup compared to the Monte Carlo technique [2]. However, this technique does not alleviate the computational burden of solving Poisson's equation, which in 3D may become the principal bottleneck in the calculation.

Parallel or multiprocessor computers provide some relief to the computational requirements of Monte Carlo device simulation. We have previously developed a parallel 3D Monte Carlo device simulator, PMC-3D [3], which was implemented on the distributed-memory nCUBE multiprocessor. In this algorithm, a subspace decomposition of the 3D device domain was performed, in which the particles and mesh nodes were distributed in a load-balanced way among the individual processors. During each time step, the particle motion and field calculation is performed locally, and the results

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communicated to neighboring processors at the end of the time step. In order to parallelize the solution of Poisson's equation in this initial implementation, an iterative successive over relaxation (SOR) method with a red-black ordering scheme was used. We have obtained good efficiencies using this algorithm, up to 70 % with 512 processors. Our subsequent analysis of the code has revealed the fact that nearly 60–90 % of the computation time is spent in the the Poisson solver for simulating real 3D structures [4].

Significant speedup of 2D Poisson-Monte Carlo algorithm has been reported by Saraniti et al using multigrid methods [5]. In the multigrid method discussed in Section 3, the convergence of the Gauss-Seidel iteration (which is the basis of the SOR method), is accelerated through the use of coarser grids on which the residual is solved. In their serial implementation, speedups of 10-20 times were reported compared to the SOR method [5].

In this paper, we describe a parallel implementation of the multigrid Poisson solver in the 3D Monte Carlo device simulator PMC-3D. Section 2 briefly describes the previous parallel SOR implementation while Section 3 reviews the multigrid method itself. Section 4 describes the implementation of this algorithm including its parallelization while Section 5 describes the results. First we compare the sequential multigrid Monte Carlo code to the sequential SOR Monte Carlo code. Depending on the convergence threshold, we have obtained significant speedups ranging from 5 to 15 when PMC-3D code is executed on a single HP 712/80 workstation. Furthermore, the parallel multigrid Monte Carlo implemented on a 32-processor nCUBE is faster between 3 to 9 times than the parallel SOR Monte Carlo code.

## 2 The SOR Solver

A Monte Carlo device simulator requires the solution of Poisson's equation to obtain the spatial distribution of the potential and electric fields which accelerate particles during the Monte Carlo particle phase. The three dimensional Poisson's equation

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} + \frac{\partial^2 \phi}{\partial z^2} = \frac{\rho}{\epsilon_s}$$

can be discretized using finite differences as

$$\frac{u_{x-1yz} - 2u_{xyz} + u_{x+1yz}}{h_x^2} + \frac{u_{xy-1z} - 2u_{xyz} + u_{xy+1z}}{h_y^2} + \frac{u_{xyz-1} - 2u_{xyz} + u_{xyz+1}}{h_z^2} = -\frac{\rho_{xyz}}{\epsilon_s}$$

where  $h_x$ ,  $h_y$ , and  $h_z$  are the grid spacings in the x, y, and z axes respectively. The plus and minus signs in the subscript denote the different directions.

The former parallel implementation of the Poisson solver used in PMC-3D is based on a pointwise Chebyshev accelerated red/black successive overrelaxation method [3]. In this scheme, the new potential at a given point on the 3D grid is calculated using the six updated values of the opposite colored neighboring grid points as follows:

$$\begin{aligned} \phi_{x,y,z}^{n-1} = & (1 - \omega) \phi_{x,y,z}^n + \omega [t_1 \phi_{x-1,y,z}^n + t_2 \phi_{x+1,y,z}^n + \\ & t_3 \phi_{x,y-1,z}^n + t_4 \phi_{x,y+1,z}^n + t_5 \phi_{x,y,z-1}^n + t_6 \phi_{x,y,z+1}^n + \Delta^2 \rho_{x,y,z}^n / \epsilon] \end{aligned}$$

where  $\phi_{x,y,z}$  and  $\rho_{x,y,z}$  are the electrostatic potential and charge density, and  $\omega$  is the relaxation parameter. The coefficients  $t_1, t_2, t_3, t_4, t_5$  and  $t_6$  are functions of  $x, y$  and  $z$  and vary on the grid, depending on the chosen discretization. The optimal  $\omega$  is computed using the Chebyshev acceleration method. Each iteration consists of two half sweeps. At the beginning of each half sweep, every processor communicates with its neighbors via message passing to obtain the updated potential values of the opposite colored neighboring grid points. Convergence is reached when the maximum of the absolute residual is less than a given convergence threshold. This scheme is implemented on the nCUBE multiprocessor using a binary routing scheme [3].

The device is partitioned using a recursive bisection algorithm as illustrated in Fig. 1. The subgrids are assigned to processors using a Gray code mapping. Thus, communication occurs only between pairs of processors that are physically adjacent to one another.

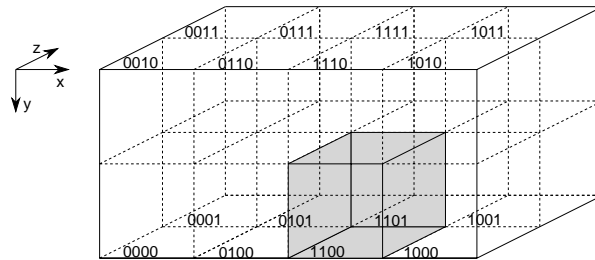


FIG. 1. The geometrical partitioning of the semiconductor device domain onto a hypercube of 16 processors. The processors are labeled using binary numbers.

### 3 The Multigrid Method

In this section, we discuss the basic aspects of the multigrid method, and then explain the details of the implementation. The primary emphasis will be on the three dimensional Poisson's equation and its finite-difference discretization. For simplicity in parallel implementation, we have chosen to use homogenous, uniformly spaced grids to avoid line and/or plane relaxations. The details regarding the implementation can be found the next section.

The multigrid technique is a well-established approach for solving ordinary and partial differential equations. Its main advantage over other iterative methods like the SOR is that it is immune to increasing grid point numbers and/or more accurate convergence thresholds [6, 7, 12, 9]. Here we describe the main idea behind the multigrid approach, taking the three dimensional Poisson's equation as an example. The Poisson's equation can be expressed as  $L\mathbf{u} = \mathbf{f}$ , where  $L$  represents the  $\nabla^2$  operator,  $\mathbf{u}$  is the potential distribution, and  $\mathbf{f}$  is the normalized charge distribution,  $\rho(x, y, z)/\epsilon_s$ . Let  $\mathbf{v}$  denote the approximation to  $\mathbf{u}$ , and  $\mathbf{e}$  denote the corresponding error, where  $\mathbf{e} = \mathbf{u} - \mathbf{v}$ . In this case, the residual is defined as  $\mathbf{r} = \mathbf{f} - L\mathbf{v}$ , where  $L\mathbf{v}$  is the approximation to the forcing function  $\mathbf{f}$ . It is easy to show that the error  $\mathbf{e}$  obeys the so-called the residual equation  $L\mathbf{e} = \mathbf{r}$ . Let  $L_n\mathbf{u}_n = \mathbf{f}_n$  denote the finite difference discretization of the Poisson's equation on the grid,  $\Omega_n$  and the next coarser grid be  $\Omega_{n-1}$ . The simplest multigrid approach is the two level coarse grid correction. In this scheme, the residual  $\mathbf{r}$  is first transferred to the next coarser grid as  $\mathbf{r}_{n-1} = \mathbf{I}_n^{n-1}\mathbf{r}_n$ , where  $\mathbf{I}_n^{n-1}$  is the residual weighting or restriction which is a fine to coarse transfer operator. Then the residual equation on the coarse level  $L_{n-1}\mathbf{e}_{n-1} = \mathbf{I}_n^{n-1}\mathbf{r}_n$ , is solved exactly, either by means of an iterative method such as SOR, or directly.  $L_{n-1}$  is some coarse grid approximation to the dense grid Laplacian,  $L_n$ , which corresponds to the same finite difference discretization of the problem on the coarser grid. After the residual equation is solved on the coarse level, the error is interpolated to the dense grid. This error component is then added as a correction to  $\mathbf{v}_n$  as

$$\mathbf{v}'_n \leftarrow \mathbf{v}_n + \mathbf{I}_{n-1}^n L_{n-1}^{-1} \mathbf{I}_n^{n-1} \mathbf{r}_n .$$

The advantage of this scheme comes from the error smoothing effect of the relaxation operators [10, 11]. In the Fourier domain, the low frequency components of the error vector are slightly reduced while the high frequency components practically vanish in a few relaxation sweeps. On the coarse grid, however, some of these low frequency components overlap with high frequency components due to aliasing. The same relaxation scheme can reduce these overlapped components on the coarse grid. A simple two-level coarse grid correction cycle can be described as follow:

1. Pre-smoothing:  $\mathbf{v}_n \leftarrow S_n^{v_1} \mathbf{v}_n$ .
2. Calculate the residual:  $\mathbf{r}_n = \mathbf{f}_n - L\mathbf{v}_n$ .
3. Restriction:  $\mathbf{f}_{n-1} \leftarrow \mathbf{I}_n^{n-1} \mathbf{r}_n$ .
4. Solve exactly on  $\Omega_{n-1}$ :  $\mathbf{u}_{n-1} = L_{n-1}^{-1} \mathbf{f}_{n-1}$ .
5. Interpolation:  $\mathbf{e}_n \leftarrow \mathbf{I}_{n-1}^n \mathbf{u}_{n-1}$ .
6. Correction:  $\mathbf{v}'_n \leftarrow \mathbf{v}_n + \mathbf{e}_n$ .
7. Post-smoothing:  $\mathbf{v}'_n \leftarrow S_n^{v_2} \mathbf{v}'_n$ .

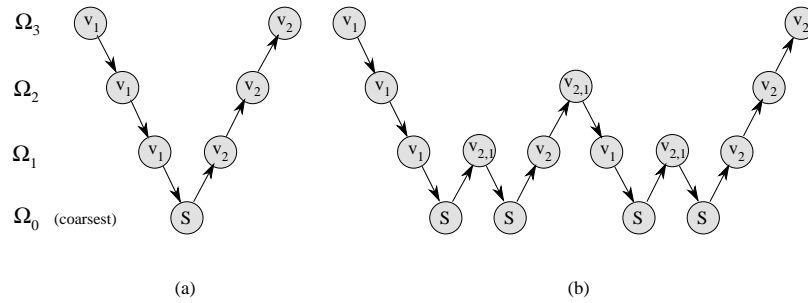


FIG. 2. Two common multigrid cycles are (a) V Cycle ( $\gamma = 1$ ) and (b) W Cycle ( $\gamma = 2$ ). Here  $v_1$  denotes pre-smoothing and  $v_2$  denotes post-smoothing. Also  $S$  is the exact solution operator,  $\searrow$  is the fine to coarse grid restriction operator, and  $\nearrow$  is the coarse to fine grid prolongation operator.

Here  $S_n^k$  denotes  $k$  relaxation sweeps of an appropriate relaxation scheme. The details about the interpolation, restriction and smoothing operators will be discussed in the next part of this section. The equation in step 4 has the same form as the original equation,  $L\mathbf{u} = \mathbf{f}$ . Applying the entire procedure recursively  $\gamma$  times in step 4, one can produce different multigrid cycles, e.g., the V-Cycle for  $\gamma = 1$  or the W cycle for  $\gamma = 2$ , as illustrated in Fig. 2. Using W cycles with a pointwise Gauss-Seidel relaxation scheme and a homogenous grid with uniform spacing gives the best performance upgrade in a reasonable development time.

## 4 Implementation

In this section, we discuss the implementation details of the multigrid Poisson solver. The coarsening scheme, intergrid transfer operators, relaxation scheme, discretization and the parallelization of the method are explained in the following parts.

### 4.1 Coarsening

For the multigrid approach, the choice of the grid set is crucial. The first task is to create a hierarchical set of grids ranging from the densest  $\Omega_n$  to the coarsest possible  $\Omega_k$ . The coarsening factor we used is  $1/2$ , which implies that the grid spacing of  $\Omega_{n-1}$  is twice as big as the grid spacing of  $\Omega_n$ . Fig. 3 illustrates the two dimensional representation of the multiprocessor coarsening scheme. Determining the coarsest possible level is another important aspect. As long as the boundary conditions of the original grid can be represented on a coarser grid, coarsening is allowed. Dirichlet boundary conditions need to be mapped to all grids with at least one boundary point per contact. Let the grid point at  $(x_1, y_1, z_1)$  belong to an electrical contact with the potential value  $\phi_a$ . Then

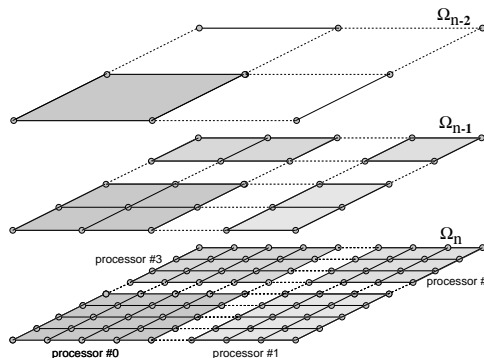


FIG. 3. Two dimensional representation of the multiprocessor coarsening scheme. Here  $\Omega_n$  is the densest,  $\Omega_{n-1}$  is the next coarser, and  $\Omega_{n-2}$  is the coarsest grid.

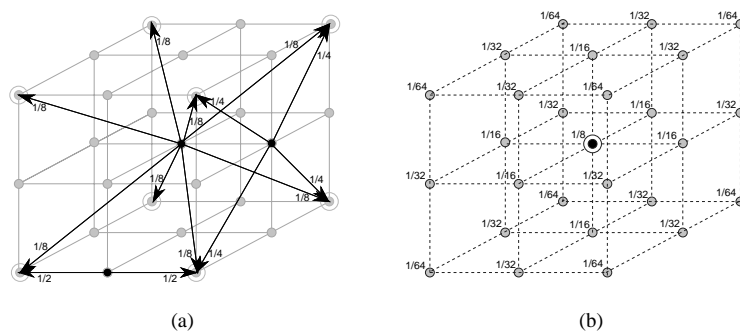


FIG. 4. *The intergrid transfer operators. a) Restriction: A 27-point full weighting scheme is used. The number in front of each grid point denotes its weight in this operation. b) Prolongation: The arrows denote the coarse grid points to be used for interpolating the dense grid point. The numbers attached to the arrows denote the contribution of the specific coarse grid point.*

the boundary value on the densest grid is the contact potential  $\phi_a$ . On the coarser levels, we are trying to approximate the error on this potential value. The potential at the contact is fixed and known exactly, and thus, the corresponding error on the coarser grids must be zero.

The Neumann boundaries are treated the same way on the entire grid set, and their mapping is not as crucial as the Dirichlet boundaries [5]. The multigrid method does not have any restrictions concerning the total number of grid-points. However, choosing the number of points of the form  $2^k + 1$  for all three directions (but not necessarily with equal  $k$  values) would simplify the restriction and the prolongation operators and improve the convergence ratio of the Poisson Solver.

## 4.2 Restriction and Prolongation

Another important component of the multigrid method is the restriction and prolongation operators. After generating the hierarchical grid set, the next step is designing the tools for residual transfers from coarser to finer grid and the opposite way for the error.

The prolongation operator we used is a modified version of the nine point prolongation used in the two dimensional case. The three cases for the prolongation operation are shown in Fig. 4a. The arrows denote the contributing coarse grid points, where the attached numbers are the corresponding weighting factors.

The restriction operator is a little more difficult to implement. There are two different useful approaches, namely the full weighting and the half weighting restriction [12, 9]. In our experience, a full weighting residual transfer operator is necessary for a stable solution. In Fig. 4b, the dense grid points that take part in the regular full weighting scheme are listed with the corresponding weighting factors. Although there are 27 points to be considered, the nature of the red/black ordered Gauss-Seidel relaxation scheme allows us to concentrate on 13 of those points as the residual values for the last updated color are always zero.

## 4.3 Relaxation Method

The main goal of the relaxation scheme is to reduce the high frequency components of the error on any given grid. There can be several suitable relaxation schemes for a specific problem depending on the boundary conditions and/or coarsening method. In this implementation, we chose to use a pointwise Gauss-Seidel relaxation scheme.

The efficiency of a relaxation scheme can be measured by the smoothing factor [10, 11]. For a cubic grid with  $N \times N \times N$  grid points with periodic boundary conditions, the Fourier transform of the error  $\mathbf{e}$  is given by

$$\mathbf{e}_{x,y,z} = \sum_{r,s,t=-N/2+1}^{N/2} c(\theta_r, \theta_s, \theta_t) \exp [i(\theta_r x + \theta_s y + \theta_t z)] ,$$

where  $\theta_r = r\pi/N$ ,  $\theta_s = s\pi/N$ ,  $\theta_t = t\pi/N$ , and  $c$  is the magnitude of the frequency component of the error for a given frequency. Finally the smoothing factor is defined by

$$\mu = \max_{\rho\pi \leq |\theta| \leq \pi} \left| \frac{\bar{c}(\theta)}{c(\theta)} \right|,$$

where  $\bar{c}$  represent the frequency components of the error after the relaxation sweep and  $\rho$  is the grid coarsening factor. A double coarsening scheme implies  $\rho = 1/2$ . The pointwise Gauss-Seidel relaxation over a cubic grid has a typical smoothing factor  $\mu \simeq 1/2$  [12]. This implies that the high frequency components of the error are reduced by almost an order of magnitude, in three relaxation sweeps. This smoothing rate is achieved only for the non-degenerate case where the grid spacings in all three dimensions are the same.

The reason for the poor smoothing effect in the case of nonuniform and inhomogenous grids comes from the fact that a pointwise relaxation scheme has a smoothing effect only with respect to the direction that has the smallest grid spacing. Thus, for a decent smoothing effect, according to the various configurations of the grid spacings, line and/or plane relaxations are required, which are difficult to implement in parallel. As the multigrid solver is designed to be a replacement for the former SOR solver, we chose to use a pointwise red-black ordered Gauss-Seidel relaxation scheme and restricted the grids to be homogenous and uniformly spaced along all three dimensions.

#### 4.4 Parallelization

Several parallel implementations of the multigrid method has been reported in the literature [12, 13, 14]. Our parallelization of the multigrid code is essentially the same as the former SOR implementation. The partitioning and the communication routines are extended to service the hierarchical grids, hence the communication pattern is preserved.

Since the Gauss-Seidel relaxation operator is simply the SOR with  $\omega = 1$ , the communication pattern of the smoothing operator remains unchanged [3, 4]. After the smoothing operation is performed, the residual values are calculated. The residual values of the last updated grid set is zero.

Before the residual restriction is performed, each processor again communicates with its neighboring processors to obtain the non-zero residual values of the grid points external to its subgrid. This way a correct restriction to the coarser levels is achieved.

The same situation is valid for the prolongation operator as well. The prolongation operation is performed after either a post-smoothing or an exact solution operation. In our implementation, these two operations, although different in functionality, are very similar. Before the prolongation is performed, each processor communicates with its neighboring processors to obtain the updated potentials of the grid points external to its subgrid. Then the prolongation operation is performed and the error is interpolated to the finer levels.

### 5 Results and Discussion

In this section we present the results of our experiments in simulating a standard MESFET device structure with a  $0.47\mu$  channel length. We executed the PMC-3D code for a number of time steps of the Poisson/Monte Carlo solver to simulate an actual MESFET device run. The MESFET was started from an initial charge neutral state, and the applied voltage turned on abruptly at  $t = 0$ . Thus, the first time step requires a significantly greater number of iteration cycles for the Poisson solver to converge. Subsequent time steps require fewer iteration cycles as the initial guess is provided from the solution to the previous timestep. To compare the effectiveness of the multigrid solver, we recorded the total simulation time and the time spent in solving the Poisson's equation using both the SOR and multigrid solvers. Table 1 gives the timings in seconds when PMC-3D code is executed on a single HP 712/80 workstation. The simulation is run for 100 time steps with convergence thresholds for the potential ranging from  $10^{-3}$  down to  $10^{-12}$  on a  $129 \times 65 \times 33$  homogenous grid with uniform grid spacings. A total of 32,000 particles was used in the simulation. As seen in Table 1, the multigrid Poisson solver is about 7–16 times faster than the SOR solver depending on the convergence threshold. For smaller convergence thresholds, the number of iterations of the SOR

TABLE 1

The timings of the PMC-3D with SOR and MG solvers on a single HP 712/80 workstation.

Threshold	PMC-3D with SOR		PMC-3D with MG		Speedup	
	Poisson	Total	Poisson	Total	Poisson	Total
$10^{-3}$	14,879.82	15,595.59	2,145.84	3,117.65	6.93	5.00
$10^{-6}$	76,208.28	77,029.05	5,664.77	6,576.83	13.45	11.71
$10^{-9}$	153,118.90	153,952.04	9,779.32	10,728.29	15.65	14.35
$10^{-12}$	225,867.00	226,735.04	14,160.49	15,124.02	15.95	14.99

solver becomes quite large, whereas, as discussed in the previous sections, the multigrid converges much more rapidly due to the error smoothing on the coarser meshes. As can be seen in Table 1, the total time of execution and the Poisson execution time are fairly close for the chosen number of grid points and particle number. Even for a convergence threshold of  $10^{-3}$ , the Poisson solver uses 95% of the execution time. Thus, the overall speedup of the total simulation is 5–15 times, which is close to the speedup of the Poisson solver itself.

TABLE 2

The timings of the PMC-3D with SOR and MG solvers on the 32-node nCUBE multiprocessor.

Threshold	PMC-3D with SOR		PMC-3D with MG		Speedup	
	Poisson	Total	Poisson	Total	Poisson	Total
$10^{-3}$	2,917.611	3,340.020	596.367	1,121.035	4.89	3.05
$10^{-6}$	15,093.156	15,515.990	2,064.199	2,589.482	7.31	5.99
$10^{-9}$	31,167.143	31,653.002	3,486.319	4,011.031	8.94	7.89
$10^{-12}$	45,597.321	46,083.452	49,27.825	5,453.801	9.25	8.45

Table 2 shows the timing results of parallel SOR and multigrid PMC-3D codes on a 32-processor nCUBE multiprocessor. The PMC-3D code is again run for 100 time steps on the same grid with 20,000 particles. As can be seen from Table 2, the parallel multigrid PMC-3D code is 3–9 times faster than the parallel SOR PMC-3D. In this case, the multigrid solver is found to be 5–9 times faster than the SOR solver. The difference in the speedup values between the serial and the parallel cases seems to arise from the fact that the communication load for the multigrid solver is higher than that of SOR. The amount of data transferred among adjacent processors decreases with increasing grid-spacing in the multigrid method, however, the number of communication attempts and the total number of iterations are generally higher than those in the SOR solver.

As mentioned in section 2, the computation time of the multigrid solver increases only linearly with respect to the decrease in the convergence threshold. However, the computation time of the SOR solver tends to grow exponentially. This effect can be seen in Fig. 5, in which we plot the

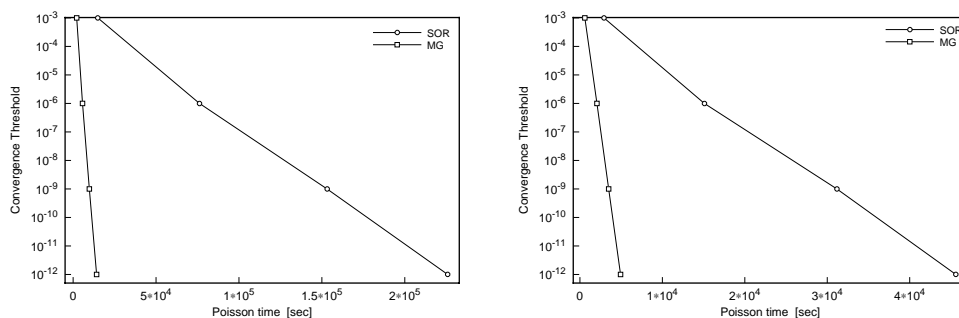


FIG. 5. The computation time versus convergence threshold for the serial code running on a HP712/80 and the parallel code running on an nCUBE with 32 nodes.

computation time as a function of the convergence threshold. Therefore, the speedup improves for the multigrid versus SOR as the convergence threshold is decreased.

In conclusion, we have presented the results of embedding a multigrid solver into our PMC-3D code in place of the SOR solver for solving Poisson's equation. We have obtained speedups between 6 to 15 for the serial code and 4 to 10 for parallel code, depending on convergence threshold. The simulations were performed on a  $129 \times 65 \times 32$  homogeneous grid with uniform grid spacings in order to simulate a standard MESFET structure. In the near future, we plan to use ideas from [15] to extend the 3D multigrid solver further to handle non-uniform grid spacings.

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